

ES PATENT <u>AND</u> TRADEMARK O<u>FFICE</u>

In re Application of:

Michal Hlavac et al.

Serial No.:

10/658,970

Filing Date:

09/09/2003

Title:

Sir:

ARTIFICIAL INTELLIGENCE PLATFORM

Group Art Unit:

PO Box 1450

3625

Examiner:

Jason B. Dunham

Attorney's Docket No.:

Mail Stop Amendment

Commissioner for Patents

Alexandria, VA 22313-1450

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I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, PO BOX 1450, ALEXANDRIA, VA 22313-1450, ON:

September 1, 2006

(DATE OF DEPOSIT)

Margaret M. Slezak

September 1, 2006 (DATE OF SIGNATURE)

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicants hereby submit documents and information which may be considered material to the examination of this application. These documents and information are listed on the accompanying forms.

Copies of documents 1-10, which are listed on the accompanying form, PTO/SB/08A (one page), have not been submitted since submission of copies of U.S. patents and U.S. patent applications is no longer required under 37 CFR 1.98.

A copy of document 11, which is listed on the accompanying form, PTO/SB/08A (one page) and copies of documents 12-14, which are listed on the accompanying form, PTO/SB/08B (one page), are enclosed herewith.

The enclosed documents may have markings thereon. Applicants are not presently aware of the source of those markings, and no significance is meant to be attached thereto.

Applicants respectfully request that these documents be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicants also respectfully request that a copy of PTO/SB/08A (one page) and PTO/SB/08B (one page), submitted

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herewith, as considered and initialed by the Examiner, be returned to the undersigned with the next communication.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

A check in the amount of One Hundred Eighty Dollars (\$180.00) payable to the Commissioner of Patents and Trademarks also is enclosed herewith to cover the fee due in connection with this submission. Please charge any additional fees due in connection with this submission, or credit any overpayment, to Deposit Account No. 16-0221. A duplicate copy of this submission is enclosed for the convenience of the Examiner.

Thank you.

Respectfully submitted,

Margaret M. Slezak, Esq. Registration No. 55,625 Pandiscio & Pandiscio, P.C. 470 Totten Pond Road Waltham, MA 02451

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Sheet 1

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete if Known			
Application Number	10/658,970		
Filing Date	09/09/2003		
First Named Inventor	Michal Hlavac		
Art Unit	3625		
Examiner Name	Jason B. Dunham		
Attorney Docket Number	INGEENI-2		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 5,730,654	03-24-1998	Brown	
	2	^{US-} 5,880,731	03-09-1999	Liles et al.	
	3	^{US-} 6,141,019	10-31-2000	Roseborough et al.	
	4	^{US-} 6,331,861	12-18-2001	Gever et al.	
	5	^{US-} 6,404,438	06-11-2002	Hatlelid et al.	
	6	^{US-} 6,466,213	10-15-2002	Bickmore et al.	
	7	US- 6,476,830	11-05-2002	Farmer et al.	
	8	^{US-} 6,561,811	05-13-2003	Rapoza et al.	
	9	^{US-} 6,763,273	07-13-2004	Chudley et al.	
	10	^{US-} 2003/0193504	10-16-2003	Cook et al.	
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		FOREI	GN PATENT DOCU	IMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T-6
		Country Code ³ Number ⁴ *Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	<u>'</u>
	11	EP 0 992 927	04-12-2002	Konami Co., Ltd.		

Examiner		Date	
Signature		Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE red to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction Act of Complete if Known Substitute for form 1449/PTO PADEMA **Application Number** 10/658,970 **Filing Date** INFORMATION DISCLOSURE 09/09/2003 STATEMENT BY APPLICANT First Named Inventor Michal Hlavac Art Unit 3625

Attorney Docket Number

Examiner Name

NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of Examiner Cite T² the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Initials* No. number(s), publisher, city and/or country where published. YOON et al. "Motivation Driven Learning For Interactive Synthetic Characters" Proc. Of 12 4th Int. Conf. on Autonomous Agents, June 3, 2000, pages 365-372, Barcelona, Spain. VELASQUEZ, "A Computational Framework for Emotion-Based Control". Proceedings of SAB 13 Workshop on Grounding Emotions in Adaptive Systems, August 21, 1998, Zurich, Switzerland. KLINE et al., "The Art and Science of Synthetic Character Design", Proceedings of Symposium on Al and Creativity in Entertainment and Visual Art (AISB), April 8, 1999, Edinburgh, Scotland.

Examiner	Date
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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